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PATENT
Attorney Docket No.: 6056-251 CT 1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of
E. Premkumar Reddy *et al.*

Group Art Unit: 1641

Serial No.: 09/670,128

Examiner: Not Yet
Assigned

Filed: September 26, 2000

For: METHODS FOR CANCER PROGNOSIS AND
SCREENING ANTIPROLIFERATIVE
AGENTS

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TECH CENTER 1600/2900

Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Sir:

Transmitted herewith is:

- ☒ an Information Disclosure Statement
☒ a PTO 1449
☒ copies of publications listed on the PTO 1449 Form
☐ English translation of foreign language reference(s)
☐ No copies of publications listed on the PTO 1449 Form are being provided as this application claims continuation status to application Serial No. _____ and copies of the listed publications are provided in the parent application.

**CERTIFICATE OF MAILING
UNDER 37 C.F.R. 1.8(a)**

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

BY K. M. S. S. S.

DATE: 11/3/01

☐ Copy of foreign examination report

☐ English translation of foreign examination report

☐ Also transmitted is:

1. ☒ This Information Disclosure Statement is filed before the later of:

☐ three months from the filing date of this national application;

☐ three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or

☒ the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

2. ☐ This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:

☐ a final action under 37 CFR 1.113, or

☐ a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c) also enclosed is:

☐ a fee under 37 CFR 1.17(p) in the amount of **\$240.00**; or

☐ a certification as stated below.

3. ☐ This Information Disclosure Statement is filed before the payment of the issue fee, but after either:

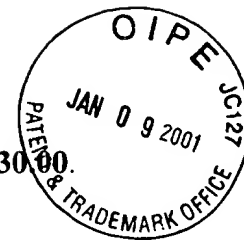
☐ a final action under 37 CFR 1.113, or

☐ a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(d) also enclosed is:

☐ a certification, as stated below; and

☐ a Petition requesting consideration of the Information Disclosure Statement; and



☐ the Petition fee set forth in 37 CFR 1.17(i) in the amount of \$130.00.

Certification

The undersigned also states:

- ☐ Each item of information contained in the Information Disclosure Statement cited herein was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement; or
- ☐ No item of information contained in the Information Disclosure Statement submitted herewith was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement.

TOTAL FEES: \$_____

- ☐ A check including the amount of the above indicated TOTAL FEES is attached.
- ☐ Please charge Deposit Account No. 19-1135 in the amount of \$_____.
- ☐ A check in the amount of \$_____ is attached.
- ☒ No fee is required.
- ☐ The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 19-1135:
- ☐ Any patent application processing fees under 37 CFR 1.17.

A duplicate copy of this sheet is attached for accounting purposes.



Respectfully submitted,

E. PREMKUMAR REDDY *ET AL.*

By: *Daniel A. Monaco*

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

This is an Information Disclosure Statement being submitted pursuant to 37 C.F.R. §1.56. This statement, the attached copy of the references cited and the copies of PTO Form 1449 are being submitted herewith in accordance with 37 C.F.R. §§1.97-1.98.

This statement is being filed prior to the issuance of an office action with respect to this application. No fee should be due for the filing of this statement.

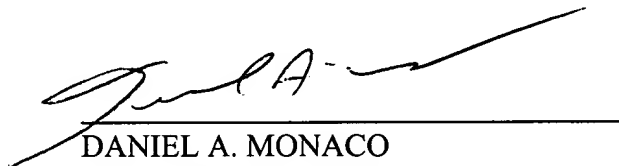
CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)	
I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.	
BY	<u>Kathleen Lynn</u>
DATE:	<u>1/3/01</u>

If any fee is deemed to be applicable to the filing of this document, please charge Deposit Account No. 19-1135 and credit any overcharge to the same deposit account.

The examiner is requested to review each of the references and make them of record during the prosecution of this application as required by M.P.E.P. §609. This Information Disclosure Statement should not be construed as a representation that the cited references are material or that no better art exists.

Respectfully submitted,

E. PREMKUMAR REDDY, et al.

A handwritten signature in black ink, appearing to read "Daniel A. Monaco", is written over a horizontal line.

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